

**CONTRACT BETWEEN THE COUNTY OF SANTA CLARA SOCIAL SERVICES AGENCY AND UNITY CARE GROUP INC. – NURTURING PARENTING PROGRAM**

1. This County of Santa Clara Social Services Agency Contract is between the COUNTY and Unity Care Group Inc. (henceforth, CONTRACTOR), for the Nurturing Parenting Program (NPP).
2. The parties agree to comply with the General Terms and Conditions contained in Articles I-V of this Contract and provisions contained in Exhibit A: Program Provisions, Exhibit B: Work Plan (Contract Specifics), and Exhibit C: Budget Plan which are attached hereto and incorporated herein by this reference and made a part of this Contract.

IN WITNESS WHEREOF, COUNTY and CONTRACTOR hereby agree to the terms of this Contract.

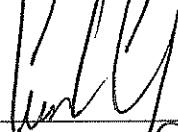
COUNTY OF SANTA CLARA



Dave Cortese, President  
Board of Supervisors

Date: JUN 23 2015

CONTRACTOR



Andre' Chapman, President & CEO  
Unity Care Group Inc.

Date: 6/3/15

ATTEST:



Megan Doyle  
Clerk of the Board of Supervisors

Date: JUN 23 2015

APPROVED AS TO FORM AND LEGALITY



Kristin Baker, Deputy County Counsel

Date: 6/2/15

# Contract General Terms and Conditions

## Article I General Terms

### 1. TOTALITY OF CONTRACT

This Contract represents all of the terms and conditions agreed upon by the parties. No other understanding, oral or otherwise, regarding the subject matter of this Contract is binding upon the parties.

### 2. AMENDMENTS

All amendments or modifications must be in writing and signed by authorized representatives of all contracting parties.

### 3. CONFLICTS OF INTEREST

- a. CONTRACTOR shall comply, and require its subcontractors to comply, with all applicable (i) requirements governing avoidance of impermissible client conflicts; and (ii) federal, state and local conflict of interest laws and regulations including, without limitation, California Government Code section 1090 et. seq., the California Political Reform Act (California Government Code section 87100 et. seq.) and the regulations of the Fair Political Practices Commission concerning disclosure and disqualification (2 California Code of Regulations section 18700 et. seq.). Failure to do so constitutes a material breach of this Agreement and is grounds for immediate termination of this Agreement by the COUNTY.
- b. In accepting this Agreement, CONTRACTOR covenants that it presently has no interest, and will not acquire any interest, direct or indirect, financial or otherwise, which would conflict in any manner or degree with the performance of this Agreement. CONTRACTOR further covenants that, in the performance of this Agreement, it will not employ any CONTRACTOR or person having such an interest. CONTRACTOR, including but not limited to CONTRACTOR's employees and subcontractors, may be subject to the disclosure and disqualification provisions of the California Political Reform Act of 1974 (the "Act"), that (1) requires such persons to disclose economic interests that may foreseeably be materially affected by the work performed under this Agreement, and (2) prohibits such persons from making or participating in making decisions that will foreseeably financially affect such interests.
- c. If the disclosure provisions of the Political Reform Act are applicable to any individual providing service under this Agreement, CONTRACTOR shall, upon execution of this Agreement, provide the COUNTY with the names, description of individual duties to be performed, and email addresses of all individuals, including but not limited to CONTRACTOR's employees, agents and subcontractors, that could be substantively involved in "making a governmental decision" or "serving in a staff capacity and in that capacity participating in making governmental decisions or performing duties that would be performed by

an individual in a designated position," (2 CCR 18701(a)(2)), as part of CONTRACTOR's service to the COUNTY under this Agreement. CONTRACTOR shall immediately notify the COUNTY of the names and email addresses of any additional individuals later assigned to provide such service to the COUNTY under this Agreement in such a capacity. CONTRACTOR shall immediately notify the COUNTY of the names of individuals working in such a capacity who, during the course of the Agreement, end their service to the COUNTY.

- d. If the disclosure provisions of the Political Reform Act are applicable to any individual providing service under this Agreement, CONTRACTOR shall ensure that all such individuals identified pursuant to this section understand that they are subject to the Act and shall conform to all requirements of the Act and other laws and regulations listed in subsection (A) including, as required, filing of Statements of Economic Interests within 30 days of commencing service pursuant to this Agreement, annually by April 1, and within 30 days of their termination of service pursuant to this Agreement.

4. **APPLICABLE LAWS AND VENUE**

This Contract has been executed and delivered in, and shall be construed and enforced in accordance with, the laws of the State of California. In the event that suit is brought by either party, the parties agree that trial of such action shall be exclusively vested in a state court in the County of Santa Clara or, if federal jurisdiction is appropriate, in the United States District Court for the Northern District of California, San Jose, California.

5. **SUBCONTRACTING AND ASSIGNABILITY**

This Contract cannot be subcontracted or assigned without prior written approval of COUNTY. In the event of such approval, any sub-contract or assignment is subject to the same provisions for providing service as the Contract between COUNTY and CONTRACTOR. CONTRACTOR must monitor, evaluate, and account for the sub-CONTRACTOR(s) services and operations. Any assignment of this Contract or sub-contract entered into in violation of this provision by CONTRACTOR is void and CONTRACTOR will be held legally responsible.

6. **WAIVER**

The waiver of any breach of the terms hereof, or of any default hereunder, is not deemed a waiver of any subsequent breach or default, whether of the same or similar nature, and does not affect the terms hereof. No waiver or modification is valid or binding unless in writing and signed by both parties.

7. **INDEPENDENT CONTRACTOR STATUS**

CONTRACTOR will perform all work and services described herein as an independent contractor and not as an officer, agent, servant, or employee of COUNTY. None of the provisions of this Contract is intended to create, nor will be deemed or construed to create, any relationship between the parties other than that of independent parties contracting with each other for purpose of effecting the provisions of this Contract. The parties are not, and will not be construed to be in a relationship of joint venture, partnership, or employer-employee. Neither party has the authority to make any statements, representations, or commitments of any kind on behalf of the other party, or to use the name of the other party in any publications

or advertisements, except with the written consent of the other party or as is explicitly provided herein. CONTRACTOR is solely responsible for the acts and omissions of its officers, agents, employees, contractors, and subcontractors, if any.

**8. SEVERABILITY OF PROVISIONS**

If any provision(s) of this Contract are held invalid, the remainder of this Contract remains in force.

**Article II  
Fiscal Accountability and Requirements**

**1. AVAILABILITY AND SUBSTITUTION OF FUNDS**

a. Notwithstanding any provision herein, this Contract is valid and enforceable only if sufficient funds are available. In the event of reduction, suspension, discontinuance, or other unavailability of funds, COUNTY unilaterally may take appropriate actions including, but not limited to, reducing existing service authorization, immediate termination of the Contract, or reducing the maximum dollar amount of this Contract with no liability occurring to the COUNTY.

b. The COUNTY may substitute State or Federal funds for funds appropriated by the Board of Supervisors for payments to be made pursuant to this Contract. CONTRACTOR will then be bound by the requirements of any State or Federal grant contracts, statutes, regulations, guidelines, or directives associated with the funds.

**2. COMPENSATION TO CONTRACTOR**

Compensation method shall be Cost Reimbursement

**3. DISALLOWED COSTS**

a. CONTRACTOR is liable for any funds expended that are not in accordance with this Contract, including, but not limited to, disallowed costs, violation, and/or default of Contract. CONTRACTOR will repay COUNTY disallowed costs, violation and/or default amounts within ninety (90) days of discovery of these costs. This provision survives the termination of this Contract.

b. If funding under this Contract are from Federal sources, such funds may not be used by CONTRACTOR, either directly or indirectly, as a contribution for the purpose of obtaining any Federal funds under any Federal programs. An indirect use of such funds to match Federal funds is defined as: "the allocation by CONTRACTOR of funds received under this Contract to a non-matching expenditure, thereby releasing or displacing other of its funds for the purpose of matching Federal funds."

**4. FINANCIAL RECORDS**

a. CONTRACTOR will establish and maintain a system of financial controls and accounting in conformance with Generally Accepted Accounting Principles (GAAP).

b. CONTRACTOR must maintain accurate and complete financial records of all costs and operating expenses in connection with this Contract including, but not

limited to subcontracts, invoices, timecards, cash receipts, vouchers, canceled checks, bank Statements, and other official documentation indicating in proper detail the nature and propriety of all costs incurred, and reimbursed by COUNTY.

- c. The financial records must show that funds received under this Contract are used for purposes consistent with the terms of this Contract.

### **Article III Reporting, Records, Audit, Evaluations, and Termination**

#### **1. INSPECTION AND AUDIT**

- a. All records, books, reports, and documentation maintained by CONTRACTOR pursuant to this Contract, or related to the CONTRACTOR's activities and expenditures under this Contract, will be open for inspection and audit by Federal, State, and County officials, or their agents, upon demand at reasonable times. Such records must be kept in the State of California for the retention period specified in this Contract. This provision survives the termination of this contract.
- b. CONTRACTOR will provide the Federal, State, or County officials, or their agents' reasonable access, through representatives of CONTRACTOR, to facilities, records, clients, and employees that are used in conjunction with the provision of contract services, except where prohibited by Federal or State laws, regulations or rules.
- c. CONTRACTOR must submit to COUNTY audited financial reports conducted by an independent certified public accountant no later than four (4) months after the end of the last month of the contract term, indicating that reported costs are actual, reasonable, necessary, allowable, and computed in accordance with GAAP and provisions stipulated in this Contract. In addition, the CONTRACTOR must submit any management letters or management advisory letters that apply to the CONTRACTOR's agency audit. COUNTY has the discretion to only require an audit report every two (2) years.
- d. COUNTY may elect to accept an audit report in accordance with GAAP conducted to meet compliance requirements of other funding entities in the event all of the above provisions are met.

#### **2. REPORTING REQUIREMENTS**

- a. CONTRACTOR must maintain complete and accurate records of its operation, including any and all records required by COUNTY relating to matters covered by this Contract, including, but not limited to, financial records, supporting documents, client statistical records, personnel and all other pertinent records. COUNTY may receive copies of any and all such records upon request.
- b. CONTRACTOR must submit to COUNTY a compensation claim on forms approved by COUNTY Social Services Agency, as outlined in Article II.
- c. CONTRACTOR must assist COUNTY in meeting COUNTY's reporting requirements to the State and other agencies with respect to CONTRACTOR's

work hereunder. This cooperation includes assisting COUNTY to prepare evaluations required by the State or Federal governments regarding services provided by CONTRACTOR under this Contract. CONTRACTOR must submit to COUNTY any and all reports that may be required by COUNTY concerning CONTRACTOR's performance under this Contract.

- d. Upon COUNTY's request, CONTRACTOR must provide COUNTY evidence of CONTRACTOR's capacity to perform under this Contract, its compliance with applicable statutes and regulations, and its compliance with the terms and conditions of this Contract.
- e. All records, books, reports and documentation must be retained in the State of California by CONTRACTOR for four (4) years after termination of this Contract; or until all Federal, State and County audits are completed; or until all disputes, litigation, or claims are resolved; whichever is later. All such records, books, reports and documentation must be transmitted to the COUNTY of Santa Clara, Social Services Agency in the event that CONTRACTOR goes out of business during the period in which records are required to be maintained. This provision survives the termination of this contract.
- f. CONTRACTOR must within 30 calendar days advise the COUNTY of 1) the issuance of any legal complaint by an enforcement agency, or any enforcement proceedings by any Federal, State or local agency for alleged violations of Federal, State or local rules, regulations or laws, and/or 2) the issuance of citations, court findings or administrative findings for violations of applicable Federal, State or local rules, regulations or laws.
- g. Contractor guarantees that it, its employees, contractors, subcontractors or agents are not suspended or debarred from receiving Federal fund as listed in the List of Parties Excluded from Federal Procurement or Non-procurement Programs issued by the Federal General Services Administration (<https://www.sam.gov/>). CONTRACTOR must within 30 calendar days advise the COUNTY if it, its employees, contractors, subcontractors or agents become suspended or debarred from receiving Federal funds as listed in the List of Parties Excluded from Federal Procurement or Non-procurement Programs issued by the Federal General Services Administration during the term of this Agreement.

**3. RESPONSIBILITY FOR AUDIT EXCEPTIONS**

CONTRACTOR accepts responsibility for receiving, replying to, and complying with any audit exceptions by appropriate Federal, State, or County, audit agencies.

**4. MONITORING AND EVALUATION**

- a. COUNTY's Social Services Agency will monitor the work performed and financial operations conducted under this Contract to determine whether CONTRACTOR's operation conforms to County policy, Federal and State statutes and regulations, and to the terms of this Contract.
- b. COUNTY may conduct participant interviews to determine program compliance.
- c. CONTRACTOR agrees to participate in and cooperate with studies and surveys

COUNTY deems necessary to meet its monitoring and evaluation responsibility.

- d. CONTRACTOR must furnish all data, Statements, records, information, and reports necessary for COUNTY to monitor, review, and evaluate the performance of the program and its components. Performance evaluations will examine the following five factors: 1) fiscal accountability; 2) completion of work within a given time frame; 3) ability and effort to meet the performance criteria; 4) quality of services; and 5) a recommendation for future contracting with the contractor.
- e. If, in the course of monitoring and evaluation, COUNTY discovers any practice, procedure or policy of CONTRACTOR that deviates from the terms of this Contract; that violates State or Federal statutes or regulations; that threatens the success of the program carried on pursuant to this Contract, or that jeopardizes the fiscal integrity of said program, COUNTY may impose reasonable funding restrictions upon notice specifying the nature of the restrictions(s), reasons for imposition, the corrective action that must be taken before they will be removed, time allowed for completing the corrective action, and method of requesting reconsideration.
- f. CONTRACTOR must respond in writing to any discrepancies, violations, or deficiencies identified by COUNTY within ten (10) days.

**5. CORRECTIVE ACTION PROCEDURE**

- a. Upon receipt by COUNTY of information regarding a failure by CONTRACTOR to comply with any provision of this Contract, COUNTY has the right to forward to CONTRACTOR a notice of COUNTY's intent to consider corrective action to enforce compliance with such provision. Such notice will indicate the nature of the issue, or issues, to be reviewed in determining the need for corrective action. CONTRACTOR may have the opportunity to respond or participate in formulating the corrective action recommendation. COUNTY has the right to require the presence of CONTRACTOR's officer(s) or employee(s) at any hearing or meeting called for the purpose of considering corrective action.
- b. After issuing such notice, and after considering CONTRACTOR's response, if any, COUNTY may forward to CONTRACTOR a set of specific corrective actions recommended and a timetable for implementing the specified corrective actions recommended. Following implementation of the corrective actions, CONTRACTOR will forward to COUNTY, within the time specified by COUNTY, any verification required by COUNTY regarding the corrective actions.
- c. In the event CONTRACTOR does not implement the corrective actions recommended in accordance with the corrective actions timetable, COUNTY may suspend payments hereunder or immediately terminate this Contract without further notice to CONTRACTOR.

**6. TERMINATION**

- a. Termination for Convenience  
COUNTY and/or CONTRACTOR may request a termination of convenience (without cause) by notifying the other party in writing 30 days prior to the effective date of termination.

b. Termination for Cause

COUNTY may, at any time, elect to suspend or terminate this Contract or withhold payments to CONTRACTOR, in whole or in part, for cause, by giving written notice specifying the effective date and scope of such termination. Cause includes, but is not limited to the following:

- i. CONTRACTOR failure to comply with any contract provision;
- ii. CONTRACTOR fails to meet the performance criteria of this Contract;
- iii. COUNTY deems CONTRACTOR's performance unsatisfactory.
- iv. Litigation is pending with respect to the CONTRACTOR's performance under this Contract that may jeopardize or adversely affect services;
- v. CONTRACTOR is the subject of a voluntary or involuntary proceeding under the Bankruptcy Act;
- vi. CONTRACTOR submits to COUNTY any reports that are incorrect or incomplete in any material respect, or fails to file timely reports; or,
- vii. CONTRACTOR is suspended or debarred from receiving Federal funds as listed in the List of Parties Excluded from Federal Procurement or Non-procurement Programs issued by the General Services Administration.

c. Terminations

In the event of any termination, all finished or unfinished documents, data, studies, reports, and materials (Materials) prepared by the CONTRACTOR under this Contract becomes the property of the COUNTY and will be promptly delivered to the COUNTY. Upon termination, the CONTRACTOR may make and retain a copy of such Materials. CONTRACTOR may be compensated based on the completion of services provided, as solely and reasonably determined by COUNTY.

7. NON-EXCLUSIVE REMEDIES

The remedies listed in this Contract are non-exclusive, and COUNTY retains all other rights and remedies it may have under general law, including the right to terminate the Contract immediately without advance notice if CONTRACTOR becomes unable to perform its obligations under this Contract.

**Article IV**

**Statutes, Regulations, and Policies**

1. COMPLIANCE WITH STATUTES AND REGULATIONS

a. CONTRACTOR will comply with all Federal, State and local statutes, laws, rules, regulations, codes, and ordinances, (Laws) effective at the inception of the Contract and that become effective during the Term of this Contract relating to its performance under this Contract. To the extent that Laws are in conflict with provisions of this Contract, the Laws prevail. CONTRACTOR will also provide services under the Contract in accordance with the resolutions, policies, procedures, directives and guidelines issued by the COUNTY Board of Supervisors or the Social Services Agency.

b. CONTRACTOR will comply with all applicable subsequent amended or added Federal, State, and local Laws and execute amendments necessary to implement such Laws.



- c. CONTRACTOR recognizes the mandatory standards and policies relating to energy efficiency in the State energy conservation plan (Title 24, California Administrative Code).
- d. For Contracts over \$100,000 CONTRACTOR will comply with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 U.S. Code 1857 (h)), Section 508 of the Clean Water Act (33 U.S. Code 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR Part 15).
- e. CONTRACTOR must establish procedures assuring that any person's complaints and grievances against CONTRACTOR regarding the delivery of services under this Contract are promptly addressed and fairly resolved.

**2. NONDISCRIMINATION OF EMPLOYMENT**

- a. CONTRACTOR shall comply with all applicable Federal, State, and local laws and regulations including Santa Clara County's policies concerning nondiscrimination and equal opportunity in contracting. Such laws include but are not limited to the following: Title VII of the Civil Rights Act of 1964 as amended; Americans with Disabilities Act of 1990; The Rehabilitation Act of 1973 (Sections 503 and 504); California Fair Employment and Housing Act (Government Code sections 12900 et seq.); and California Labor Code sections 1101 and 1102.
- b. For Contracts over \$10,000 CONTRACTOR agrees to comply with Executive Order 11246, entitled "Equal Employment Opportunity" as amended by Executive Order 11375 and as supplemented in Department of Labor regulations (41 CFP Part 60).
- c. During the performance of this Contract, CONTRACTOR and its SUBCONTRACTORS must not unlawfully discriminate, harass or allow harassment, against any employee or applicant for employment because of:
  - i. Age (40 and above),
  - ii. Ancestry,
  - iii. Color,
  - iv. Disability (Mental and Physical) including HIV and AIDS,
  - v. Ethnic Group Identification,
  - vi. Family and Medical Care Leave,
  - vii. Marital Status,
  - viii. Medical Condition (cancer/genetic characteristics),
  - ix. National Origin,
  - x. Pregnancy Disability Leave,
  - xi. Political Belief,
  - xii. Race,
  - xiii. Reasonable Accommodation,
  - xiv. Religious Creed,
  - xv. Sex/Gender, or
  - xvi. Sexual Orientation.

- d. CONTRACTOR and SUBCONTRACTORS ensure that the evaluation and

treatment of their employees and applicants for employment are free from such discrimination and harassment.

- e. CONTRACTOR and SUBCONTRACTORS comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12900 et seq.) and the applicable regulation promulgated hereunder (California Code Regulations, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, Section 12990 (a) - (f), are incorporated into this Contract by reference and made a part hereof as if set forth in full (California code Regulations, Title 2, Section 7285.0 et seq.).
- f. CONTRACTOR and its SUBCONTRACTORS will give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- g. CONTRACTOR must include the non-discrimination and compliance provisions of this clause in all SUBCONTRACTS to perform work under this Contract.

**3. NONDISCRIMINATION OF SERVICES**

a. CONTRACTOR ensures that services provided under this Contract are nondiscriminatory and that no person is denied services or subjected to discrimination under any program or activity because of:

- i. Age (40 and above),
- ii. Ancestry,
- iii. Color,
- iv. Disability (Mental and Physical) including HIV and AIDS,
- v. Ethnic Group Identification,
- vi. Marital Status,
- vii. Medical Condition (cancer/genetic characteristics),
- viii. National Origin,
- ix. Political Belief,
- x. Race,
- xi. Reasonable Accommodation,
- xii. Religious Creed,
- xiii. Sex/Gender, or
- xiv. Sexual Orientation.

b. CONTRACTOR may exclude an individual or group when the services of a program are restricted to a specific class of individuals or group and included as a provision of this Contract.

c. CONTRACTOR ensures that its appropriate personnel involved in providing services are educated regarding AIDS and HIV infection.

**4. CONFIDENTIALITY**

a. CONTRACTOR must require its employees and all persons performing services at its direction to comply with the provisions of Sections 827 and 10850 et seq. of the Welfare and Institutions Code (WIC) and California Department of Social Services (CDSS) Manual of Policies and Procedures, Division 19 Regulations.

- i. All applications and records concerning any individual receiving services pursuant to this contract are confidential and are not open to examination for any purpose not directly connected with the administration, performance compliance, monitoring or auditing of the program.
    - ii. No person may publish, disclose, use, or permit or cause to be published or disclosed; any confidential information pertaining to services, except as is provided by law.
  - b. Upon the disclosure of confidential information, inadvertent or otherwise, the COUNTY may terminate this contract immediately and take legal action against CONTRACTOR. Any person who knowingly and intentionally violates the provisions Stated above is guilty of a misdemeanor and the COUNTY intends to prosecute such violators to the full extent of the law.
  - c. CONTRACTOR will inform all employees, agents, officers, and all persons performing services at its direction of the above provisions. All provisions of Article IV, Section 4 survive the termination of this Contract.
5. **ASSIGNMENT OF CLAYTON ACT, CARTWRIGHT ACT CLAIMS**  
CONTRACTOR hereby assigns to the COUNTY all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the CONTRACTOR for sale to the COUNTY pursuant to this Contract.
6. **COUNTY NO-SMOKING POLICY**  
CONTRACTOR and its employees, agents and subcontractors, shall comply with the COUNTY's No-Smoking Policy, as set forth in the Board of Supervisors Policy Manual section 3.47 (as amended from time to time), which prohibits smoking: (1) at the Santa Clara Valley Medical Center Campus and all COUNTY-owned and operated health facilities, (2) within 30 feet surrounding COUNTY-owned buildings and leased buildings where the COUNTY is the sole occupant, and (3) in all COUNTY vehicles.
7. **FOOD AND BEVERAGE STANDARDS**
- a. Except in the event of an emergency or medical necessity, the following nutritional standards shall apply to any foods and/or beverages purchased by CONTRACTOR with COUNTY funds for COUNTY-sponsored meetings or events.
  - b. If food is to be provided, healthier food options must be offered. "Healthier food options" include (1) fruits, vegetables, whole grains, and low fat and low calorie foods; (2) minimally processed foods without added sugar and with low sodium; (3) foods prepared using healthy cooking techniques; and (4) foods with less than 0.5 grams of trans fat per serving. Whenever possible, CONTRACTOR shall (1) offer seasonal and local produce; (2) serve fruit instead of sugary, high calorie desserts; (3) attempt to accommodate special, dietary and cultural needs; and (4) post nutritional information and/or a list of ingredients for items served. If

meals are to be provided, a vegetarian option shall be provided, and the CONTRACTOR should consider providing a vegan option. If pre-packaged snack foods are provided, the items shall contain: (1) no more than 35% of calories from fat, unless the snack food items consist solely of nuts or seeds; (2) no more than 10% of calories from saturated fat; (3) zero trans fat; (4) no more than 35% of total weight from sugar and caloric sweeteners, except for fruits and vegetables with no added sweeteners or fats; and (5) no more than 360 mg of sodium per serving.

- c. If beverages are to be provided, beverages that meet the COUNTY's nutritional criteria are (1) water with no caloric sweeteners; (2) unsweetened coffee or tea, provided that sugar and sugar substitutes may be provided as condiments; (3) unsweetened, unflavored, reduced fat (either nonfat or 1% low fat) dairy milk; (4) plant-derived milk (e.g., soy milk, rice milk, and almond milk) with no more than 130 calories per 8 ounce serving; (5) 100% fruit or vegetable juice (limited to a maximum of 8 ounces per container); and (6) other low-calorie beverages (including tea and/or diet soda) that do not exceed 40 calories per 8 ounce serving. Sugar-sweetened beverages shall not be provided.

**8. CONTRACTING PRINCIPLES**

CONTRACTOR agrees to comply with the COUNTY's Contracting Principles set forth in the Board Policy Manual. The Contracting Principles require, among other things, that CONTRACTOR be a fiscally responsible entity and treat its employees fairly. CONTRACTOR is also required to (1) comply with all applicable federal, state and local rules, regulations and laws; (2) maintain financial records, and make those records available upon request; (3) provide to the COUNTY copies of any financial audits that have been completed during the term of the contract; and (4) upon the COUNTY's request, provide the COUNTY reasonable access, through representatives of the CONTRACTOR, to facilities, financial and employee records that are related to the purpose of the contract, except where prohibited by federal or state laws, regulations or rules.

(Refer to:

<http://www.sccgovatwork/portal/site/OBA/agencychp?path=%2Fv7%2FOBA%20%28EMPDEP%29%2FContracting%20Information%2FContracting%20Principles>)

**9. THIRD PARTY BENEFICIARIES**

This agreement does not, and is not intended to, confer any rights or remedies upon any person or entity other than the parties.

**10. MAINTENANCE OF SOFTWARE**

If CONTRACTOR is provided with "remote access", defined as the act of connecting to a COUNTY attached information technology system from a non-county attached system through a public network, CONTRACTOR will maintain and use its non-county system, hardware, and software in compliance with COUNTY standards and policies set by the COUNTY Information Services Department.

**11. CONTRACT EXECUTION**

Unless otherwise prohibited by law or County policy, the parties agree that an electronic copy of a signed contract, or an electronically signed contract, has the same force and legal effect as a contract executed with an original ink signature. The term "ELECTRONIC COPY OF A SIGNED CONTRACT" refers to a transmission by

facsimile, electronic mail, or other electronic means of a copy of an original signed contract in a portable document format. The term "ELECTRONICALLY SIGNED CONTRACT" means a contract that is executed by applying an electronic signature using technology approved by the COUNTY. If CONTRACTOR provides an electronic copy of a signed contract to the COUNTY, CONTRACTOR shall provide the original signed contract to the COUNTY within 10 days of providing the electronic copy to the COUNTY in order to enforce its rights under the contract.

## **Article V Insurance Requirements**

### **EXHIBIT B-2 STANDARD CONTRACTS ABOVE \$100,000**

#### **Indemnity**

The Contractor shall indemnify, defend, and hold harmless the County of Santa Clara (hereinafter "County"), its officers, agents and employees from any claim, liability, loss, injury or damage arising out of, or in connection with, performance of this Agreement by Contractor and/or its agents, employees or sub-contractors, excepting only loss, injury or damage caused by the sole negligence or willful misconduct of personnel employed by the County. It is the intent of the parties to this Agreement to provide the broadest possible coverage for the County. The Contractor shall reimburse the County for all costs, attorneys' fees, expenses and liabilities incurred with respect to any litigation in which the Contractor is obligated to indemnify, defend and hold harmless the County under this Agreement.

#### **Insurance**

Without limiting the Contractor's indemnification of the County, the Contractor shall provide and maintain at its own expense, during the term of this Agreement, or as may be further required herein, the following insurance coverages and provisions:

#### **A. Evidence of Coverage**

Prior to commencement of this Agreement, the Contractor shall provide a Certificate of Insurance certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, a certified copy of the policy or policies shall be provided by the Contractor upon request.

This verification of coverage shall be sent to the requesting County department, unless otherwise directed. The Contractor shall not receive a Notice to Proceed with the work under the Agreement until it has obtained all insurance required and such insurance has been approved by the County. This approval of insurance shall neither relieve nor decrease the liability of the Contractor.

#### **B. Qualifying Insurers**

All coverages, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- V, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Insurance Manager.

C. Notice of Cancellation

All coverage as required herein shall not be canceled or changed so as to no longer meet the specified County insurance requirements without 30 days' prior written notice of such cancellation or change being delivered to the County of Santa Clara or their designated agent.

D. Insurance Required

1. Commercial General Liability Insurance - for bodily injury (including death) and property damage which provides limits as follows:
  - a. Each occurrence - \$1,000,000
  - b. General aggregate - \$2,000,000
  - c. Products/Completed Operations aggregate - \$2,000,000
  - d. Personal Injury - \$1,000,000
  
2. General liability coverage shall include:
  - a. Premises and Operations
  - b. Products/Completed
  - c. Personal Injury liability
  - f. Severability of interest
  
3. General liability coverage shall include the following endorsement, a copy of which shall be provided to the County:

Additional Insured Endorsement, which shall read:

“County of Santa Clara, and members of the Board of Supervisors of the County of Santa Clara, and the officers, agents, and employees of the County of Santa Clara, individually and collectively, as additional insureds.”

Insurance afforded by the additional insured endorsement shall apply as primary insurance, and other insurance maintained by the County of Santa Clara, its officers, agents, and employees shall be excess only and not contributing with insurance provided under this policy. Public Entities may also be added to the additional insured endorsement as applicable and the contractor shall be notified by the contracting department of these requirements.

4. Automobile Liability Insurance

For bodily injury (including death) and property damage which provides total limits of not less than one million dollars (\$1,000,000) combined single limit per occurrence applicable to all owned, non-owned and hired vehicles.

4a. Aircraft/Watercraft Liability Insurance (Required if Contractor or any of its agents or subcontractors will operate aircraft or watercraft in the scope of the Agreement)

For bodily injury (including death) and property damage which provides total limits of not less than one million dollars (\$1,000,000) combined single limit per occurrence applicable to all owned, non-owned and hired aircraft/watercraft.

5. Workers' Compensation and Employer's Liability Insurance

- a. Statutory California Workers' Compensation coverage including broad form all-states coverage.
- b. Employer's Liability coverage for not less than one million dollars (\$1,000,000) per occurrence.

E. Special Provisions

The following provisions shall apply to this Agreement:

1. The foregoing requirements as to the types and limits of insurance coverage to be maintained by the Contractor and any approval of said insurance by the County or its insurance consultant(s) are not intended to and shall not in any manner limit or qualify the liabilities and obligations otherwise assumed by the Contractor pursuant to this Agreement, including but not limited to the provisions concerning indemnification.
2. The County acknowledges that some insurance requirements contained in this Agreement may be fulfilled by self-insurance on the part of the Contractor. However, this shall not in any way limit liabilities assumed by the Contractor under this Agreement. Any self-insurance shall be approved in writing by the County upon satisfactory evidence of financial capacity. Contractors obligation hereunder may be satisfied in whole or in part by adequately funded self-insurance programs or self-insurance retentions.
3. Should any of the work under this Agreement be sublet, the Contractor shall require each of its subcontractors of any tier to carry the aforementioned coverages, or Contractor may insure subcontractors under its own policies.
4. The County reserves the right to withhold payments to the Contractor in the event of material noncompliance with the insurance requirements outlined above.

F. Fidelity Bonds ( Required only if contractor will be receiving advanced funds or payments)

Before receiving compensation under this Agreement, Contractor will furnish County with evidence that all officials, employees, and agents handling or having access to funds received or disbursed under this Agreement, or authorized to sign or countersign checks, are covered by a BLANKET FIDELITY BOND in an amount of AT LEAST fifteen percent (15%) of the maximum financial obligation of the County cited herein. If such bond is canceled or reduced, Contractor will notify County immediately, and County may withhold further payment to Contractor until proper coverage has been obtained. Failure to give such notice may be cause for termination of this Agreement, at the option of County.

\*\*\* END \*\*\*



## Exhibit A: Program Provisions

**CONTRACTOR:** Unity Care Group, Inc.

**PROGRAM:** Nurturing Parenting Program (NPP)

1. **TERM OF CONTRACT**

The term begins on **June 1, 2015** and expires on **July 30, 2016** unless terminated earlier or otherwise amended.

2. **MAXIMUM FINANCIAL OBLIGATION**

COUNTY will reimburse CONTRACTOR actual allowable expenditures subject to the provisions of this Contract, not to exceed **\$70,000.00**.

3. **BUDGET CONTINGENCY**

This Contract is contingent upon the appropriation of sufficient funding by the County for the services covered by this Contract. Notwithstanding the termination provisions set forth herein, if funding is reduced or depleted by the County for services covered by this Contract, the County has the option to either terminate this Contract without notice (except that necessary to transition clients in the discretion of the County) and with no liability occurring to the County, or to offer an amendment to this Contract indicating the reduced amount.

4. **COMPENSATION TO CONTRACTOR**

a. **Cost Reimbursement Contract**

CONTRACTOR will be reimbursed by COUNTY for its actual, reasonable, necessary, and allowable costs incurred up to the maximum compensation, for the performance of services as specified in this contract. These costs will also be in accordance with current cost principles and with all other requirements of this contract:

1. For Non-Profit Agencies, OMB Circular A-122.
2. For Local Governments, OMB Circular A-87.
3. For Public and Nonprofit Institutions of Higher Education, OMB Circular A-121.
4. For Profit Making Organization, 41 CFR Part 1.

(ii) If CONTRACTOR provides any tasks, deliverables, goods, services, or other work, other than as specified in this contract, the same will be deemed to be a gratuitous effort on the part of the CONTRACTOR, and the CONTRACTOR will have no claim whatsoever against COUNTY.

(iii) CONTRACTOR must participate in a closeout period at the end of the COUNTY funding period. During the closeout period all funds awarded to CONTRACTOR must be reconciled to the costs incurred and to the remaining cash, if any. A closeout packet will be provided to CONTRACTOR by COUNTY at the end of the funding period and is due within forty-five (45) days thereafter. This provision survives the termination of this contract.

## Exhibit A: Program Provisions

### b. Cost Reimbursement Claim

CONTRACTOR must submit to COUNTY a cost reimbursement claim in a form approved by COUNTY, by the tenth (10th) working day of each month for services performed during the preceding month.

- (i) Prior to submittal, cost reimbursement claims must be certified and signed by a responsible officer of CONTRACTOR with authority to certify that the information submitted by CONTRACTOR is accurate and CONTRACTOR is entitled to payment under the terms of the contract. COUNTY may rely on said certification in making payment, but this payment will not constitute a waiver of any of COUNTY's legal rights or objections.
- (ii) If the cost reimbursement claim is in proper form and the items billed are payable under this contract, COUNTY will make payment to CONTRACTOR within twenty-one (21) working days after receipt of the cost reimbursement claim.
- (iii) COUNTY will not be required to make payment if the amount claimed is not in accordance with the provisions of this contract. All payments under this contract will be made directly to CONTRACTOR as a corporate entity. Under no circumstances will COUNTY be required to make payments in any amount pursuant to this contract to any other parties, including individual employees or creditors of CONTRACTOR.
- (iv) COUNTY is not obligated to reimburse CONTRACTOR for any expenditure not reported to COUNTY within sixty (60) calendar days after the end of the last month of the contract term.

### 5. ADJUSTMENT TO WORKPLAN

The COUNTY may approve modifications to the Work Plan to ensure that the Work Plan is consistent with the Program Provisions and is in the best interest of the target population specified in the contract. Adjustments are intended to clarify the services and activities specified in the contract and are not formal contract amendments, as long as the adjustments do not modify the scope of work or total contract amount. The CONTRACTOR will submit sufficient written documentation to the COUNTY that clearly explains the requested adjustment(s) and the reason for the adjustment(s), and how the adjustment will impact service delivery to the clients. Adjustments will be approved, in writing by the COUNTY's designated representative or designee, and the CONTRACTOR's representative or designee.

### 6. ADJUSTMENT TO EXHIBIT C: BUDGET

A budget adjustment can be made by the COUNTY without a formal contract amendment as long as the adjustment does not increase the maximum financial obligation specified in Section 2 of this Exhibit, and doesn't have a negative impact on the CONTRACTOR's ability to meet the obligations of this contract.

CONTRACTOR may request a budget revision by submitting the COUNTY's Budget Revision Request form to the COUNTY's designated contract/program monitor. The



## Exhibit A: Program Provisions

### 11. CHILD ABUSE AND NEGLECT REPORTING ACTS

CONTRACTOR will ensure that all personnel described in the Child Abuse and Neglect Reporting Act, Section 11165 et seq. of the California Penal Code, are in compliance with the law. The law mandates certain personnel to report known or suspected instances of child abuse. This includes, but is not limited to, any person who is a social worker, or an administrator or presenter of, or a counselor in, a child abuse prevention program. CONTRACTOR will require each employee, volunteer, or subcontractor that is a mandated reporter to sign a statement that he or she knows of the reporting requirements as defined in Section 11165 et seq. of the Penal Code.

### 12. CONTRACTOR STAFF

CONTRACTOR will ensure that no staff, paid or volunteer, are knowingly employed who have been convicted or arrested for which the person is released on bail or on his or her own recognizance pending trial of any sex crimes, drug crimes, or crimes of violence per California Penal Code Section 11105.3.

### 13. PUBLICATIONS/VIDEO PRODUCTIONS FOR SERVICES FUNDED BY CAPIT

CONTRACTOR will acknowledge the California Department of Social Services (CDSS) as the funding agency, in writing, upon all educational and training materials, curricula, audio/visual aids, printed materials, and periodicals developed pursuant to this Contract and with the prior approval of the CDSS. If any of the above (with the exception of video productions) is developed without the prior approval from the CDSS, it will be acknowledged thereon that the material does not necessarily represent the views of the CDSS.

Video productions will not be undertaken without the full knowledge and written consent of the CDSS at the initial conception development and throughout production. No expenditures under this Contract will be incurred, or allowed for in the design and development of video productions prior to receipt of written CDSS approval.

### 14. POLITICAL ACTIVITY AND LOBBYING PROHIBITED

None of the funds, materials, property or services provided directly or indirectly under this Contract, will be used for any partisan political activity, or to further the election or defeat of any candidate for public office.

CONTRACTOR will ensure that no staff or other persons employed with CDSS grant funds will conduct activities intended to influence legislation, administrative rule-making, or the election of public officials during time compensated under this Contract or with grant funds. Grant funds. Nor may any such person represent that such activities are being performed under the grant. The following guidelines will be observed:

- a. Meetings, which include these activities, will not be represented as being prescribed or funded by COUNTY or CDSS.
- b. In the event that any such meeting or conversation occurs during time not compensated under CDSS grants; auditable records will be kept indicating the meeting(s) or conversation(s) occurred on personal time, vacation, or other time not paid for with CDSS funds.

## Exhibit A: Program Provisions

- c. Office space leased, rented, or otherwise acquired with CDSS grant funds will not be used for any activities prohibited herein.

15. **INTERNET LANGUAGE**

CONTRACTOR agrees to have internet capability and provide internet access to employees funded under this grant during the term of this Contract.

16. **MATCHING FUNDS REQUIREMENT**

CONTRACTOR will provide matching funds equal to 10% or more of the contract amount for this program/project. The matching funds must be funds that are not provided by the CDSS.

17. **PARTICIPATION IN ACTIVITIES SPONSORED BY THE CHILD ABUSE COUNCIL**

CONTRACTOR will:

- a. Attend quarterly meetings sponsored by the Child Abuse Council;
- b. Make at least one presentation at a designated Child Abuse Council meeting;
- c. Participate in the annual Child Abuse Council retreat; and
- d. Contribute to the Council's public awareness activities.

In addition, CONTRACTOR may choose to participate in one or more committees of the Child Abuse Council.

18. **SERVICES NOT TO BE FUNDED**

The following services are not to be funded by child abuse funds CAPIT and CTF:

- a. Advocacy services including activities intended to influence legislation, administrative rule-making, or the election of public officials during time compensated under this Contract. Nor may any such persons represent that such activities are being performed under this Contract.
- b. Long-term interventions (more than six months) or treatment services.
- c. Active Child Welfare Services (CWS) cases.
- d. Services already funded by the County of Santa Clara Mental Health Department and Social Services Agency for the proposed target population.

**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015 – June 30, 2016

**EXHIBIT B WORK PLAN  
 PROGRAM SUMMARY**  
 Funding Source: Child Abuse Prevention  
 UCG - NP

Agency Name: Unity Care Group, Inc.  
 Program Name: Nurturing Parents

Submission Date: 03/27/15  
 Revision Submission Date: \_\_\_\_\_

**Brief Project Description**  
 Parenting education reinforced with support groups and home visits will be offered to former foster children who now have one or more children of their own or are expecting. The program is 6 months in duration with the parenting workshop being offered weekly within that time period. Two cohorts will participate in the program over the course of a year. Each offering of the program will serve 23 families. Depending on family size, each cohort should consist of at least 23 parents and at least 23 children. The target audience is former foster children who have children or are expecting and who are between the ages of 18 and 26. Past Unity Care experience suggests that the ethnic and racial distribution of participants will be approximately 50% African American, 40% Hispanic, 5% Asian-American/Pacific Islander, and 5% Caucasian.

	Component 1		Component 2		Component 3		Child Abuse Total =a1+a2+a3	Matching Funds Total =b1+b2+b3	Grand Total
	Parent Education and Support		Home Visiting						
	Families of at-risk children		Families of at-risk children						
Funding Category	Secondary Prevention		Secondary Prevention				Child Abuse Total =a1+a2+a3	Matching Funds Total =b1+b2+b3	Grand Total
	Child Abuse a1	Matching Funds b1	Child Abuse a2	Other Funding b2	Child Abuse a3	Matching Funds b3			
Unduplicated Children 0 – 5	37	4	37	4			74	8	82
Unduplicated Youth 6 – 18	5		4				9	0	9
Unduplicated Adults 19 & older	42	5	42	5			84	10	94
<b>Total Unduplicated Participants</b>	<b>84</b>	<b>9</b>	<b>83</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>167</b>	<b>18</b>	<b>185</b>
Duplicated Children 0 – 5	444	48	444	48			888	96	984
Duplicated Youth 6 -18	60		60				120	0	120
Duplicated Adults 19 & older	504	60	504	60			1008	120	1128
<b>Total Duplicated Participants</b>	<b>1008</b>	<b>108</b>	<b>1008</b>	<b>108</b>	<b>0</b>	<b>0</b>	<b>2016</b>	<b>216</b>	<b>2232</b>
# Hours per Component	570	57	2016	202					
# Hours per Participant	7	7	24	24					
Cost per Component	\$15,479	\$1,563	\$54,521	\$5,437			\$70,000	\$7,000	\$77,000
Cost per Participant	\$184	\$184	\$648	\$648					
Cost per Hour	\$27	\$27	\$27	\$27					
Start Date for Component	07/01/15	07/01/15	06/30/16	06/30/16					
End Date for Component	06/30/16	06/30/16	06/30/16	06/30/16					

**In the space below, please explain how you calculated the number of hours for Component 1.**

52 class sessions @ 90 minutes each +24 support group sessions @ 120 minutes each + 60 minutes of preparation and commute time for 4 people for 52 class sessions + 76 round trips to transport participants to classes and support groups @ 60 minutes per round trip + 2400 minutes of training for each of 4 people. Sum of minutes divided by 60 to obtain total hours: 4680 minutes of classes + 2880 minutes of support group + 12480 minutes of preparation and commute time + 4560 minutes of transporting participants + 9600 minutes of training = 34200 minutes. 34200 divided by 60 minutes = 570 hours.

**In the space below, please explain how you calculated the number of hours for Component 2.**

120 minutes per home visit x 42 families x 24 visits = 120,960 minutes divided by 60 minutes = 2016 hours

**In the space below, please explain how you calculated the number of hours for Component 3.**

**In the space below, please explain the services or activities that will be paid for by other funding source(s).**

Matching funds will partially offset the cost of the 90 minute class sessions, the support group sessions, home visits, the cost of preparation and commuting time for staff, and the cost of transporting participants. The matching fund contribution is \$7,000 and the County contribution is \$70,000. The figures in the table were arrived at by counting the matching fund contribution as one-tenth the County contribution.

**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015 – June 30, 2016

**EXHIBIT B: WORK PLAN  
 SERVICE DELIVERY GOALS**  
 Funding Source: Child Abuse Prevention  
 UCG - NP

Agency Name: Unity Care Group, Inc.  
 Program Name: Nurturing Parents

Submission Date 03/27/15  
 Revision Submittal Date                     

COMPONENT 1					
UNDUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description of Component
Planned Q1 (unduplicated)	12		12		Parent education and support groups. 26 sessions of parent education per cohort of participants supported by 12 support group sessions. Education sessions are 90 minutes each. Support group sessions are 2 hours each. At least 42 0 to 18 year olds and 42 parents will be served per year.
Planned Q2 (unduplicated)					
Planned Q3 (unduplicated)	30		30		
Planned Q4 (unduplicated)					
<b>Total Unduplicated</b>	<b>42</b>	<b>0</b>	<b>42</b>	<b>0</b>	

ACTIVITY DUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description for Each Activity
Parent Education and Support	42		42		26 education sessions, 12 support group meetings per client
<b>Total Duplicated</b>	<b>42</b>	<b>0</b>	<b>42</b>	<b>0</b>	

COMPONENT 2					
UNDUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description of Component
Planned Q1 (unduplicated)	12		12		Home visits. The 42 families participating in the parent education and support groups each receive 24 home visits. Each visit is two hours in duration. All family members participate. Thus, at least 84 individuals are served through this component
Planned Q2 (unduplicated)					
Planned Q3 (unduplicated)	30		30		
Planned Q4 (unduplicated)					
<b>Total Unduplicated</b>	<b>42</b>	<b>0</b>	<b>42</b>	<b>0</b>	

ACTIVITY DUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description for Each Activity
Home Visiting	1008		1008		24 home visits per client
<b>Total Duplicated</b>	<b>1008</b>	<b>0</b>	<b>1008</b>	<b>0</b>	

COMPONENT 3					
UNDUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description of Component
Planned Q1 (unduplicated)					Enter the name and brief description of the component here.
Planned Q2 (unduplicated)					
Planned Q3 (unduplicated)					
Planned Q4 (unduplicated)					
<b>Total Unduplicated</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

ACTIVITY DUPLICATED	Children	Children w/Disabilities	Caregivers	Caregivers w/Disabilities	Description for Each Activity
<b>Total Duplicated</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015 – June 30, 2016

**EXHIBIT B: WORK PLAN  
 OUTCOMES**  
 Funding Source: Child Abuse Prevention  
 UCG - NP

Agency Name: Unity Care Group, Inc.  
 Program Name: Nurturing Parents

Submission Date: 03/27/15  
 Revision Submission Date: \_\_\_\_\_

<b>COMPONENT 1</b>					
<b>Parenting Education and Support Groups</b>					
<b>ENGAGEMENT OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	24		60		84
Outcome Statement	12 families will engage in the program in the first six months of the year and an additional 30 families will engage in the program in the second six months of the program. Participants will attend 80% of workshops and 80% of support group meetings.				
Time Frame to Achieve Outcomes	<b>Semi-Annual</b>				
<b>SHORT-TERM OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	12		30		42
Outcome Statement	Parents will show moderate growth in parenting skills as measured by the AAPI-2				
Time Frame to Achieve Outcomes	<b>Quarterly</b>				
<b>INTERMEDIATE OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	12		30		42
Outcome Statement	Parents will show substantial growth in parenting skills as measured by the AAPI-2. End-of-program surveys will show satisfaction in the program on the part of participants and will indicate increased confidence on the part of parents in their ability to parent effectively.				
Time Frame to Achieve Outcomes	<b>Semi-Annual</b>				
<b>COMPONENT 2</b>					
<b>Home Visits</b>					
<b>ENGAGEMENT OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	24		60		84
Outcome Statement	12 families will engage in the program in the first six months of the year and an additional 30 families will engage in the program in the second six months of the program. Families will be able to participate in at least 20 of the 24 home visits that will be scheduled for them.				
Time Frame to Achieve Outcomes	<b>Semi-Annual</b>				
<b>SHORT-TERM OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	24		60		84
Outcome Statement	Parents and children will actively engage in home visits and parents will show moderate growth in parenting skills as measured by the AAPI-2				
Time Frame to Achieve Outcomes	<b>Quarterly</b>				
<b>INTERMEDIATE OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants	24		60		84
Outcome Statement	Parents and children will actively engage in home visits and parents will show substantial growth in parenting skills as measured by the AAPI-2. End-of-program surveys will indicate parent satisfaction with the program and growth in their confidence in being able to parent effectively.				
Time Frame to Achieve Outcomes	<b>Semi-Annual</b>				
<b>COMPONENT 3</b>					
<b>Enter Component Name</b>					
<b>ENGAGEMENT OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants					0
Outcome Statement					
Time Frame to Achieve Outcomes					
<b>SHORT-TERM OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants					0
Outcome Statement					
Time Frame to Achieve Outcomes					
<b>INTERMEDIATE OUTCOMES</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>	<b>Total</b>
Number of Participants					0
Outcome Statement					
Time Frame to Achieve Outcomes					



**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015 – June 30, 2016

**EXHIBIT B: WORK PLAN  
 STAFFING  
 Funding Source: Child Abuse Prevention  
 UCG - NP**

Agency Name: Unity Care Group, Inc.  
 Program Name: Nurturing Parents

Submittal Date: 03/27/15  
 Revision Submittal Date: \_\_\_\_\_

Complete the table below for each staff person working in this project. You may copy/paste the table if you have more than five people providing services under this Contract.

<b>Staff Member #1</b>	
<b>Name</b>	TBH
<b>Title</b>	Supervisor
<b>Direct or Indirect Services</b>	Direct
<b>Duties/Activities Provided</b>	Organize, conduct and supervise parenting workshops and family support groups and make home visits
<b>Education, Experience, and Qualifications</b>	Supervises programs for emancipated former foster care children. Oversees service providers at transitional housing sites. Has been a residential counselor for foster children. Is experienced in delivering wraparound services to youth and families.
<b>Language/Cultural Competence</b>	Will have received cultural competence training.

<b>Staff Member #2</b>	
<b>Name</b>	TBH
<b>Title</b>	Facilitator
<b>Direct or Indirect Services</b>	Direct
<b>Duties/Activities Provided</b>	Will serve as a facilitator conducting some of the parenting workshops, organizing some of the parent support group
<b>Education, Experience, and Qualifications</b>	Bachelors /or Masters level facilitator with prior experience working with foster care population and families and children at-risk of entering foster care.
<b>Language/Cultural Competence</b>	Will have received cultural competence training.

<b>Staff Member #3</b>	
<b>Name</b>	TBH
<b>Title</b>	Facilitator
<b>Direct or Indirect Services</b>	Direct
<b>Duties/Activities Provided</b>	Will serve as a facilitator conducting some of the parenting workshops, organizing some of the parent support group
<b>Education, Experience, and Qualifications</b>	Bachelors /or Masters level facilitator with prior experience working with foster care population and families and children at-risk of entering foster care.
<b>Language/Cultural Competence</b>	Will have received cultural competence training.

<b>Staff Member #4</b>	
<b>Name</b>	
<b>Title</b>	
<b>Direct or Indirect Services</b>	
<b>Duties/Activities Provided</b>	
<b>Education, Experience, and Qualifications</b>	
<b>Language/Cultural Competence</b>	

<b>Staff Member #5</b>	
<b>Name</b>	
<b>Title</b>	
<b>Direct or Indirect Services</b>	
<b>Duties/Activities Provided</b>	
<b>Education, Experience, and Qualifications</b>	
<b>Language/Cultural Competence</b>	

**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015 – June 30, 2016

**EXHIBIT C: BUDGET PLAN**  
**PROGRAM BUDGET**  
 Funding Source: Child Abuse Prevention  
 UCG - NP

Agency Name: Unity Care Group, Inc.  
 Program Name: Nurturing Parents

Submittal Date: 03/27/15  
 Revision Submittal Date: \_\_\_\_\_

**DIRECT EXPENSES**

**SECTION 1: PERSONNEL EXPENSES (Direct Service Staff Only)**

A	B	C	D	E	F	G	H	I
	Position Title	Name	Annual Salary	Agency FTE	% of time devoted to contract	CAC Direct Costs (D*F)	Matching Funds	Total
1a	Supervisor	TBH	\$ 45,000	1.00	84%	\$ 37,716	\$ 3,772	\$ 41,487
1b	Facilitator	TBH	\$ 40,000	1.00	15%	\$ 6,000	\$ 600	\$ 6,000
1c	Facilitator	TBH	\$ 40,000	1.00	15%	\$ 6,000	\$ 600	\$ 6,000
1d								
1e						\$ -		\$ -
1f			Subtotal Salaries & Wages			\$ 49,716	\$ 4,972	\$ 54,687
1g			Fringe Benefits @ (28% of Salary & Wages)			\$ 13,920	\$ 1,392	\$ 15,312
1h			<b>TOTAL SECTION 1: PERSONNEL EXPENSES</b>			<b>\$ 63,636</b>	<b>\$ 6,364</b>	<b>\$ 70,000</b>

**SECTION 2: CONTRACT & HOURLY STAFF EXPENSES (Direct Service Only)**

2a						\$ -		\$ -
2b						\$ -		\$ -
2c						\$ -		\$ -
2d						\$ -		\$ -
2e						\$ -		\$ -
2f			Subtotal Contract & Hourly Expenses			\$ -	\$ -	\$ -
2g			Other Costs Associated with Contract/Hourly Staff (e.g., Workers Comp)					\$ -
2h			<b>TOTAL SECTION 2: CONTRACT &amp; HOURLY STAFF EXPENSES</b>			<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**SECTION 3: OPERATING EXPENSES**

3a	Office Rent							\$ -
3b	Insurance							\$ -
3c	Communication Costs							\$ -
3d	Copying and Printing							\$ -
3e	Program Supplies							\$ -
3f								\$ -
3g			<b>TOTAL SECTION 3: OPERATING EXPENSES</b>			<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**INDIRECT EXPENSES (Maximum 10% of Total Budget)**

**SECTION 4: INDIRECT PERSONNEL EXPENSES**

	Position Title	Name	Annual Salary	Agency FTE	Contract FTE	CAC Indirect Costs (D*F)	Matching Funds	Total
4a						\$ -		\$ -
4b						\$ -		\$ -
4c						\$ -		\$ -
4d						\$ -		\$ -
4e						\$ -		\$ -
4f			Subtotal Indirect Personnel Expenses			\$ -	\$ -	\$ -
4g			Fringe Benefits @ (30% of Salary and Wages Expense)					\$ -
4h			<b>TOTAL SECTION 4: INDIRECT PERSONNEL EXPENSES</b>			<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**SECTION 5: INDIRECT EXPENSES - OTHER**

5a	General and Administrative at 10% of Incurred Costs					\$ 6,364	\$ 636	\$ 7,000
5b								\$ -
5c								\$ -
5d								\$ -
5e			<b>TOTAL SECTION 5: INDIRECT EXPENSES - OTHER</b>			<b>\$ 6,364</b>	<b>\$ 636</b>	<b>\$ 7,000</b>

6	<b>TOTAL DIRECT EXPENSES</b>					<b>\$ 63,636</b>	<b>\$ 6,364</b>	<b>\$ 70,000</b>
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7	<b>TOTAL INDIRECT EXPENSES</b>					<b>\$ 6,364</b>	<b>\$ 636</b>	<b>\$ 7,000</b>
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8	<b>TOTAL PROPOSED BUDGET</b>					<b>\$ 70,000</b>	<b>\$ 7,000</b>	<b>\$ 77,000</b>
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Santa Clara County Social Services Agency

Santa Clara County- Social Services Agency  
Child Abuse Prevention Program FY 2016  
Contract Period: July 1, 2015 – June 30, 2016

EXHIBIT C: BUDGET PLAN  
BUDGET NARRATIVE  
Funding Source: Child Abuse Prevention  
UCG - NP

Agency Name: Unity Care Group, Inc.  
Program Name: Nurturing Parents

Submittal Date: 03/27/15  
Revision Submittal Date:

**DIRECT EXPENSES**

**SECTION 1: PERSONNEL EXPENSES (Direct Service Staff Only)**

Individuals described in the Staffing tab will be hired by Unity Care Group (UCG) in order to provide support to this effort. All individuals will be experienced in similar programs. The salary levels indicated are consistent with UCG's compensation plans for this type of work.

**Fringe Benefits for Direct Staff (line 1g on your Program Budget)**

Payroll taxes are assumed at 9%. Other Fringe Benefits are 19%. Total PR taxes and fringe rate of 28%.

**SECTION 2: CONTRACT & HOURLY STAFF (Direct Service Only)**

None proposed

**Other Costs Associated with Contract/Hourly Staff (line 2g on your Program Budget)**

None proposed

**SECTION 3: OPERATING EXPENSES**

None proposed

**INDIRECT EXPENSES**

**SECTION 4: INDIRECT PERSONNEL EXPENSES**

None proposed

**Fringe Benefits for Indirect Personnel Expenses**

None proposed

**SECTION 5: INDIRECT EXPENSES - OTHER**

Costs of General and Administrative Agency expenses, including senior management, Information Technology, Human Resources, Finance, Compliance, Training, Facilities, and other support functions. Priced at 10% of direct cost.

**Santa Clara County Social Services Agency**

Santa Clara County- Social Services Agency  
 Child Abuse Prevention Program FY 2016  
 Contract Period: July 1, 2015– June 30, 2016

**EXHIBIT C: BUDGET PLAN  
 RESOURCE TABLE**  
 Funding Source: Child Abuse Prevention  
 UCG - NP

Agency Name: Unity Care Group, Inc.  
 Project Name: Nurturing Parents

Submittal Date: 3/27/2015  
 Revision Submittal Date:                     

Please list all funding sources for this Program (including CAC and matching funds)

A	B	C	D	E	F
Source of Funds	Name or Type of Funds	Commitment Code*	FY 14/15 Dollar Amount	FY 15/16 Dollar Amount	Difference Year to Year (E-D)
Child Abuse Council	Program Funding from County of Santa Clara	1	\$ -	\$ 70,000	\$ 70,000
Unrestricted Donations	Unrestricted assets from Unity Care Group	1	\$ -	\$ 7,000	\$ 7,000
					\$ -
					\$ -
					\$ -
					\$ -
					\$ -
					\$ -
					\$ -
<b>Total Resources</b>			\$ -	\$ 77,000	\$ 77,000

Matching Amount	Requested Amount
\$7,000.00	\$70,000.00
	10%

Matching amount must be a minimum of 10% of Requested (CAC) Amount

Commitment Code*	Definitions
1	Firm Commitment - Already have an agreement or letter confirming funding
2	Anticipated Renewal of Existing Funding - Continuation of current year funding
3	Anticipated Resource - Projection of previous fees or donations
4	Application Pending - Application has been submitted, no confirmation at this time
5	Pre-Application - Not yet submitted and expect funding